

Account Opening Fees:

\$500.00 per account

Account Closing Fees:

\$500.00 minimum per account

PORTFOLIO MANAGEMENT

- Alliance designs and manages a personalized client portfolio.
- Fees are payable in January of each year, otherwise prorated.

Management Fee: 2% Annual Fee

ONLINE OR BROKER ASSISTED

Trading and Execution

- For clients wishing to trade their own account

Custodial Services: \$1,000.00 per annum

Online Trading Services: \$2,000.00 per annum

Commissions:

U.S. Equities – 8 cents per share

(Negotiable with volume minimum cost per ticket: \$20.00.)

Foreign Equities – 1% of principal transaction

(Minimum cost per ticket: \$300.00)

Transfer of Securities:

\$0.01 per share, subject to \$100 minimum.

Cash Transaction Charges:

\$25.00 per transaction plus tiered-bank charges

CORPORATE SERVICES

- Preferred Clients – Fees Negotiable

Registered Office Administration:

\$2,000.00 per annum

Managed Company:

\$5,000.00 per annum

FULL/DISCOUNT FUTURE SERVICE BROKERAGE

Perfect for new traders, seasoned pro traders, institutional and fund manager traders. Alliance will offer very competitive foreign commission rates for active, high volume traders and tailored brokerage services to meet their needs.

BROKER ASSISTED AND FLOOR ACCESS

For system traders and traders who need direct floor access. Alliance will work to accommodate your needs.

MANAGED FUTURES

Alliance will offer managed future accounts that will be traded by seasoned pro traders and top Commodity Trading Advisors (CTAs) in the industry. Traders can be recommended according to customer investment goals. Clients are also welcome to appoint their own managers to trade on their behalf.

British American Insurance House, Ground Floor
 Marlborough Street & Navy Lyon Road
 P. O. Box SS-19051 • Nassau, The Bahamas
 Tel: 242-326-7333 • Fax: 242-326-7336
www.allianceinvest.com



PRODUCTS AND SERVICES GUIDE



ALLIANCE
 INVESTMENT
 MANAGEMENT

AFFILIATE OF THE BENCHMARK GROUP OF COMPANIES

Alliance Investment Management believes that the growth and stability of our Company is only as strong as the long-term relationships that we form with our clients and strategic partners. These relationships are nurtured with trust as their backbone, experience as their guide, and long-term performance as their goal to ensure that every client is successful in attaining his/her investment objectives.

MISSION STATEMENT

Maximizing the use of modern technologies, Alliance provides a window of opportunity for access to the global investment universe supported by professionals to the benefit of our clients' financial interests.

The Alliance Managed Portfolio Program is an investment service formulated by Alliance and designed to allow easy access to long-term professional asset management strategies with complete confidentiality.

Five distinct investment strategies are available to clients through the Program:

1. *Conservative*
2. *Capital Preservation*
3. *Moderate Growth*
4. *Wealth Building*
5. *Aggressive Growth*

The Program provides a variety of investment strategies created to service the needs and objectives of the client and portfolio. Additionally, investment consulting as well as financial planning are discussed and agreed with clients before the program is executed.

To ensure that they are always appraised of the status of their accounts, clients receive regular account statements and performance reports.

Another hallmark of Alliance is our ability to offer clients a broad range of investment choices, such as brokerage services, in full confidence and privacy. With the execution, clearing and custodial support of our clearing broker and its offices in New York, Chicago, Canada, Tokyo and London, we are a one-stop source for an array of financial products: equities, fixed-income securities, options, mutual funds, futures' trading and more.

Alliance has the expertise and systems in place to help clients invest wherever and whenever opportunities arise, including our state-of-the-art online trading services. Through Alliance, clients have access to execution, settlement, and reporting services in virtually any U.S. or International instrument. Additionally, by utilizing our established network of professionals, fast, personal and confidential service is assured.

Let Alliance provide you with the investment tools and guidance you need. Visit our offices on the ground floor of the British American Insurance House, opposite the British Colonial Hilton Hotel in downtown Nassau, Bahamas or our website: info@allianceinvest.com. Give us a call at [242] 326-7333 to learn how Alliance can help you build wealth and make your financial desires a reality.



ALLIANCE
INVESTMENT
MANAGEMENT